

Figure: 16 TAC §34.3(a)

\$250		\$500		\$1,000	
<u>Failure to Display Permit or License</u>	<u>§§11.04 and 61.01</u>	<u>Permit Minor in Package Store</u>	<u>§109.53</u>	<u>Open Saloon - Sale to Non-Member</u>	<u>§§32.01 (a), 32.17 (a)(1) and 32.17 (b)</u>
<u>Failure to Timely File Monthly Report</u>	<u>§§201.075 (DS & Wine), 201.53 (Ale), 203.13 (Beer)</u>	<u>Cash Law</u>	<u>§§61.73 and 102.31; Rule 45.131</u>	<u>Conspiracy to Receive Unlawful Benefit</u>	<u>§104.03</u>
<u>Failure to Pay State Franchise Tax</u>	<u>§§11.61(b)(5), 61.712</u>	<u>Credit Law</u>	<u>§102.32; Rule 45.121</u>	<u>Inducement</u>	<u>§§102.04 (b)(6); 102.07 (a)(8); 102.12; Rule 45.110</u>
<u>Failure to Pay State Hotel Tax</u>	<u>§§11.61(b)(5), 61.712</u>	<u>Private Club - Storage of Alco. Bev. not Owned by Members</u>	<u>§32.01(a)(1); Rules 41.51 and 41.52</u>	<u>Unlawful Agreement</u>	<u>§102.16</u>
<u>Failure to Maintain Acceptable Gross Receipts or Sales Tax Bond</u>	<u>§28.17</u>	<u>Inspection Refusal - Regular Business Hours</u>	<u>§32.12</u>	<u>Illegal Contract/Alternating Brewing/Manufacturing</u>	<u>§§12.06 or 62.14</u>
<u>Failure to Maintain Acceptable Alco. Bev. Excise Tax Bond</u>	<u>§§204.01 - .03</u>	<u>Maintaining a Noisy Establishment</u>	<u>§11.61(b)(9)</u>	<u>Illegal Contract Distilling - Spirits</u>	<u>§§11.06; 14.01</u>
<u>Failure to Maintain Acceptable Conduct Surety Bond</u>	<u>§§11.11(a)(2), 61.13; Rule 33.24</u>	<u>Maintaining an Unsanitary Establishment</u>	<u>§11.61(b)(9)</u>	<u>Refilling Distilled Spirits Bottle on Licensed Premise</u>	<u>§28.08</u>
<u>Failure to Report Corporate Change</u>	<u>§28.04 and Rule 41.48</u>	<u>Brand Substitution w/o Customer Consent</u>	<u>§§28.081; 104.04; 61.74(a)(13)</u>	<u>Permitting Removal of Alco. Bev. from Premise</u>	<u>§§28.10, 32.17(a)(4)</u>
<u>Possession of Unauthorized Alco. Bev.</u>	<u>§§25.09, 26.01(a), 69.12, 71.04</u>	<u>Poss. of Distilled Spirits w/o ID Stamp (Local Dist. Stamp)</u>	<u>§§28.15(a); 32.20(a)</u>	<u>Sell/Deliver Alco. Bev. while under Suspension</u>	<u>§11.68</u>
<u>Failure to Maintain Acceptable State Sales Tax Bond</u>	<u>§11.61(b)(8)</u>	<u>Failure to Invalidate ID Stamps</u>	<u>§28.09(a); Rule 41.71(h)</u>	<u>Soliciting Alcoholic Beverages</u>	<u>§104.01</u>
<u>Failure to Pay State Sales Tax</u>	<u>§§11.61(c)(2), 61.712</u>	<u>Mixed Bev. – Possession of Un-invoiced/Unauthorized Alco. Bev.</u>	<u>§28.06 (a), (b)</u>	<u>Operating without Required Permit or License</u>	<u>§§11.01, 61.01</u>
<u>Failure to Pay or Report Mixed Bev. Gross Receipts or Sales Tax</u>	<u>§§11.61(b)(5), 61.712</u>	<u>Possession of Alco. Bev. Unfit for Consumption</u>	<u>§103.07</u>	<u>Permit Consumption of Beer at Off-Premise</u>	<u>§71.01</u>

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				<u>Location</u>	
<u>Failure to Maintain Performance Bond</u>	<u>§11.61(b)(8), 61.71(l)</u>	<u>Sell/Deliver Alco. Bev. in Open Container</u>	<u>§§ 22.11, 24.10</u>	<u>Store Alco. Bev. Off Licensed Premise</u>	<u>§§69.10, 71.06</u>
<u>Failure to Timely Provide Records</u>	<u>§§5.32, 5.44 (a)(6)</u>	<u>Acquired Alco. Bev. from Another Retailer</u>	<u>§§69.09, 71.05</u>	<u>Subterfuge</u>	<u>§11.05, 109.53</u>
<u>Failure to Maintain or Properly Document Invoices</u>	<u>§5.32; Rules 41.23, 41.25</u>	<u>Purchase of Alco. Bev. while on Delinquent List</u>	<u>§102.32; Rule 45.121(g)</u>	<u>False/Misleading Statement in Application, Document, Report Etc.</u>	<u>§§11.46 (a)(4), 11.61(b)(4), 61.43(a)(4), and/or 61.71(a)(4) and (11)</u>
<u>Failure to Operate under the Required Tradename</u>	<u>§§61.05, 108.52(c)</u>	<u>Consignment Sale of Alco. Bev.</u>	<u>§§102.07(a)(4) ; 61.71(a)(8)</u>	<u>Illegal Interior Signage</u>	<u>§61.74(a)(1); Rule 45.113(d)</u>
<u>Outdoor Advertising Violation</u>	<u>Code Chapter 108</u>	<u>Sale away from Licensed Premise</u>	<u>§§11.06, 61.06, 32.17(a)(4)</u>	<u>Prohib. Relationship with Different Levels of Industry</u>	<u>§§102.01; 102.07; 102.15</u>
<u>Failure to Post Required Sign</u>	<u>§§11.041, 11.042, 26.05, 61.11, 61.111, 71.10, 104.07; Rule 31.4</u>	<u>On-Premises Promotions</u>	<u>Rule 45.103</u>	<u>Sale to Respondent on Delinquent List</u>	<u>§102.32(d)</u>
<u>Food & Bev. Permit Holder: Incomplete / Missing Records</u>	<u>§5.32; Rule 33.5(l) and (n)</u>	<u>Session Class Size Exceeds 50</u>	<u>Rules 50.6(a), 50.26(b)(1), (b)(17)</u>	<u>No Permanent Food Service Facility at Addressed Location</u>	<u>§§25.13, 28.18, 32.23, 69.16; Rule 33.5(f)(2)</u>
<u>Failure to Complete or File Excise Tax Report</u>	<u>§5.32, Rule 41.45</u>	<u>Failure to Place Retailer on Delinquent List</u>	<u>Rule 45.121</u>	<u>Ineffective Instruction</u>	<u>Rules 50.3(e), 50.4(b), 50.5(b)</u>
<u>Failure to Meet Trainer Certification Requirements</u>	<u>Rule 50.26(b)</u>	<u>Failure to Report Cash Law Violation</u>	<u>§102.31(c); Rule 45.131(e)</u>	<u>Illegal Sampling / Tasting Event</u>	<u>§§16.01(c), 24.12, 26.08, 37.01, or 52.01</u>
<u>Failure to Properly Issue Trainee Certificates</u>	<u>Rule 50.9(b), (c)</u>	<u>Failure to Report Credit Law Violation</u>	<u>§102.32(e); Rule 45.121</u>	<u>Program Taught by Uncertified Trainer</u>	<u>Rule 50.25(c)</u>
<u>Failure to Properly Schedule/Cancel Training Session</u>	<u>Rule 50.10(a), (b)</u>	<u>Transporting w/o Required Invoice</u>	<u>§§22.08, 23.04, 24.04; Rule 35.1(d)</u>	<u>In-House Program Certified Non-Employees</u>	<u>Rule 50.8</u>
<u>Failure to Meet Testing Requirements</u>	<u>Rule 50.26(b)(19)</u>	<u>Records Incomplete or Missing</u>	<u>§32.13; Rule 41.52</u>	<u>Exclusive Outlet</u>	<u>§§102.13, 109.08</u>

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<u>Failure to Meet Requirements-Sch/Pgm Certification</u>	<u>Rule 50.13</u>	<u>Membership/Me mbership Committee Violations</u>	<u>§§32.09, 32.16; Rule 41.52(c)(1)</u>	<u>Commercial Bribery</u>	<u>§102.12</u>
<u>Failure to Properly Prepare/File Session Reports</u>	<u>Rule 50.10(e)</u>	<u>Improper Financial Transactions</u>	<u>§32.06</u>	<u>Prohibited Interest</u>	<u>§§22.06, 51.06, 102.03,102.04, 102.09, 102.10, 102.11, 102.18</u>
		<u>No Written Consent to Scan DL/ID</u>	<u>§109.61(b); Rule 41.52(g)</u>	<u>Unauthorized Manufacturing/Brewing Activity</u>	<u>§§11.01, 61.01</u>
		<u>Adequate Food Service Not Available</u>	<u>Rule 33.5(f)</u>	<u>Transporting Liquor w/out Required Transport Permit</u>	<u>§§11.01, 41.01, 42.01, 43.03, 44.01</u>
		<u>Food Items Not Prepared/ Assembled on Premises</u>	<u>Rule 33.5(e)(1)</u>	<u>Unauthorized Sale/Brew Products for On-Premise Consumption</u>	<u>§12.052</u>
		<u>Alcohol Sale Hours beyond Food Sale Hours</u>	<u>Rule 33.5(g)</u>	<u>Place / Manner - Violated Waiver Order</u>	<u>§§11.61(b)(7), 61.71(a)(17), and Rule 35.31 (b)</u>
		<u>Unauthorized Sale/Brew Products for Off-Premise Consumption</u>	<u>§12.052</u>	<u>Place / Manner - Over Serving</u>	<u>§§11.61(b)(7), 61.71(a)(17), 32.17(a)(8); Rule 35.31</u>
		<u>Engage in Promotional Activity w/o License/Permit</u>	<u>§§50.001; 35.01; 36.01</u>	<u>Place / Manner - Assaultive Offenses</u>	<u>§§11.61(b)(7), 61.71 (a)(17), 32.17(a)(8); Rule 35.31(c)</u>
		<u>Illegal Stocking/ Shelving/ Product Rotation</u>	<u>§102.20; Rule 45.109</u>		
		<u>Unauthorized Market Research</u>	<u>§§108.06, 102.07; Rule 45.113(b)(4)</u>		
		<u>Unauthorized Sweepstakes Contest</u>	<u>§§102.07(e), 108.061; Rule 45.106</u>		
		<u>Unauthorized Coupon</u>	<u>§§102.07(d), 108.06; Rule 45.113</u>		
		<u>Failed to Meet Labeling Requirements</u>	<u>§§37.07, 101.67</u>		

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<u>Illegal Bar Spending</u>	<u>§§102.07(g)(2), 102.15(b)(2); Rules 45.113, 45.117</u>
<u>Illegal Refund/ Exchange by Wholesaler/ Distributor</u>	<u>§§11.61(b)(2), 61.74(a)(1), 104.05(d), (e)</u>
<u>Possess/ Transport/ Store Illicit Beverage</u>	<u>§103.01</u>
<u>Food Service Not Available/ Adequate (Private Club)</u>	<u>§32.03(g); Rule 41.52(e)</u>
<u>Failure to Maintain ID Stamp Reports</u>	<u>Rule 41.71(d)</u>
<u>Possess Un-Affixed ID Stamps</u>	<u>§§28.151, 32.201</u>
<u>Unauthorized Repackaging of Alco. Bev.</u>	<u>§104.05</u>
<u>Unauthorized Breakdown & Sale of Alco. Bev. Co-packs</u>	<u>§§102.07(a)(5), 108.035; Rule 45.120(c)</u>
<u>Unauthorized Sale of Alco. Bev. to a Retailer</u>	<u>§§23.01(a)(2), 24.01(a)(2), 25.01(a)(1), 26.01(a), 69.01, 71.01.</u>