



ANNUAL REPORT OF NONFINANCIAL DATA

FISCAL YEAR 2011
September 1, 2010 - August 31, 2011





STATE OFFICE of RISK MANAGEMENT

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December 15, 2011

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The Honorable Rick Perry
Governor of Texas
P.O. Box 12428
Austin, Texas 78711

Mr. John O'Brien, Director
Legislative Budget Board
P.O. Box 12666
Austin, Texas 78711

Mr. John Keel, CPA, State Auditor
Office of the State Auditor
P.O. Box 12067
Austin, Texas 78711

Dear State Leaders:

We are pleased to submit the *Annual Report of Nonfinancial Data* for the State Office of Risk Management for the year ended August 31, 2011, in compliance with the Tex. Gov't Code Ann. §2101.0115 and in accordance with the instructions for completing the Annual Report of Nonfinancial Data.

The accompanying report has not been audited and is considered independent of the agency's *Annual Financial Report*.

If you have any questions regarding this report, please contact Stuart B. Cargile at (512) 936-1523.

Sincerely,



Jonathan D. Bow
Executive Director

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State Office of Risk Management (479)
Unaudited

SCHEDULE 1
SCHEDULE OF PROFESSIONAL / CONSULTING FEES AND LEGAL SERVICE FEES
For the Fiscal Year Ended August 31, 2011

<u>NAME</u>	<u>TYPE OF SERVICE RENDERED</u>	<u>AMOUNT</u>
Professional / Consulting Fees		
Forte, Inc.	Professional Services	\$ 1,840,425.09
Rudd and Wisdom	Professional Services	\$10,000.00
Workers Assistance Program	Professional Services	<u>\$3,149.21</u>
Total Professional / Consulting Fees and Legal Service Fees		\$ <u>1,853,574.30</u>

State Office of Risk Management (479)
Unaudited

SCHEDULE 2

SCHEDULE OF SPACE OCCUPIED

For the Fiscal Year Ended August 31, 2011

State-Owned Buildings:

<u>Location</u>	<u>Address</u>	<u>Type</u>	<u>FTEs</u>	<u>Area in Square Feet</u>
Austin, Texas	William P. Clements Building	Office	119.9	19,809
Total Space				19,809

State Office of Risk Management (479)
Unaudited

SCHEDULE 3
APPROPRIATION ITEM TRANSFER SCHEDULE *
For the Fiscal Year Ended August 31, 2011

ITEM OF APPROPRIATION

A. Goal: Management Risk and Administer Claims

Strategies:	<u>Transfer In</u>	<u>Transfer Out</u>	<u>Net Transfer</u>
A.1.1 13001 Risk Management Program S.B. 1, 81st Leg., R.S., Art IX, Sec 14.01 (a), Page IX-56 (Appropriation Transfer)	\$ -	\$ -	\$ -
A.2.1 13002 Pay Workers' Compensation S.B. 1, 81st Leg., R.S., Art IX, Sec 14.01 (a), Page IX-56 (Appropriation Transfer)	\$ -	\$ -	\$ -
A.1.1 13003 Workers' Compensation Payments	\$ -	\$ -	\$ -
Total Goal A: Management Risk and Administer Claims	<u>\$ 0.00</u>	<u>\$ 0.00</u>	<u>\$ 0.00</u>
Net Appropriation Transfers	<u>\$ 0.00</u>	<u>\$ 0.00</u>	<u>\$ 0.00</u>

*This schedule does not include the transfers for Benefit Replacement Pay, Longevity Increase, Salary Increase or Retirement Incentive Payments.

State Office of Risk Management (479)
Unaudited

SCHEDULE 4
HUB STRATEGIC PLAN PROGRESS REPORT
For the Fiscal Year Ended August 31, 2011

(Source: Texas Government Code, Title 10, Subtitle D, Section 2161.124)

Goal=Strategic Plan HUB Goal Actual=% Spent with HUBs from HUB report	Actual FY 2010	Actual FY 2011	Goal for FY 2012
Heavy Construction contracts	0%*	0%*	NA*
Building Construction contracts	0%*	0%*	NA*
Special Trades contracts	0%*	0%*	NA*
Professional Services contracts	100%	0%**	23.60%
Other Services contracts	12.3%	1.45%	1.50%
Commodities contracts	81.1%	88.84%	21.0%

* The State Office of Risk Management does not historically make procurements in these categories.

** No purchases were made in the Professional Services category during fiscal year 2011.

State Office of Risk Management (479)
Unaudited

SCHEDULE 5

SCHEDULE OF RECYCLED, REMANUFACTURED, AND ENVIRONMENTALLY SENSITIVE PURCHASES

For the Fiscal Year Ended August 31, 2011 - State Office of Risk Management (Agency 479)

E1, E2, or E3	Description	Total Expenditures		
		Non-Delegated	Delegated	Total
Total E1 - Including 1st Choice	Recycled	\$ -	\$ 6,028.00	6,028.00
Total E2 - Including 1st Choice	Remanufactured	-	-	-
Total E3 - Including 1st Choice	Environmentally Sensitive	-	111,745.62	111,745.62
Total of E1, E2, E3 - Including Recycled 1st Choice Targeted Totals		\$ -	\$ 117,773.62	\$ 117,773.62

FY 2011 - 1st Choice Targeted Commodities	Expenditures			% Spent on 1st Choice Products 100*[A/(A+B)]
	1st Choice Products (A)	Virgin Counterpart (B)	Total (A+B)	
Motor Oil & Lubricants	\$ -	\$ -	\$ -	0.00%
Toilet Paper, Toilet Seat Covers & Paper Towels	-	-	0.00	0.00%
Printing Paper, Copier Paper & Computer Paper	-	-	0.00	0.00%
Business Envelopes	-	-	0.00	0.00%
Plastic Trash Bags	-	-	0.00	0.00%
Plastic Covered Binders	-	-	0.00	0.00%
Recycling Containers	-	-	0.00	0.00%
Photocopiers	-	-	0.00	0.00%
Total - 1st Choice Targeted Commodities	\$ -	\$ -	\$ -	0.00%

Summary of Total Number of FY 2011 Virgin Counterpart Justifications	Total Cost	Total Quality	Total Not Available	Total Other
Motor Oil & Lubricants	0	0	0	0
Toilet Paper, Toilet Seat Covers & Paper Towels	0	0	0	0
Printing Paper, Copier Paper & Computer Paper	0	0	0	0
Business Envelopes	0	0	0	0
Plastic Trash Bags	0	0	0	0
Plastic Covered Binders	0	0	0	0
Recycling Containers	0	0	0	0
Photocopiers	0	0	0	0
Total Number(s)	0	0	0	0

State Office of Risk Management (479)
Unaudited

SCHEDULE 6
SCHEDULE OF INDIRECT COST

For the Fiscal Year Ended August 31, 2011

A. Payroll-Related Costs

FICA Employer Matching Contribution	\$ 407,161.04
Group Health Insurance	716,665.38
Retirement	353,324.60
Unemployment	<u>3,437.26</u>

Total Payroll-Related Costs \$ 1,480,588.28

Workers' Compensation	\$ 10,394.36
Benefit Replacement Pay (BRP)	<u>15,194.10</u>

Total Workers' Compensation and BRP \$ 25,588.46

B. Indirect Costs (not reported on operating statements)

Bond Debt Service Payments	\$ 0.00
Other (if applicable)	<u>0.00</u>

Total Indirect Costs (not reported on operating statements) \$ 0.00

C. Indirect Costs - Statewide Full Cost Allocation Plan
(based on FY2011 data)

Comptroller	\$ 186,392.00
DIR	489.00
DPS	1,686.00
TFC	122,923.00
Budget	2,513.00
State Senate	1,455.00
House of Representatives	1,847.00
Legislative Council	1,384.00
Legislative Budget Board	9,982.00
Reference Library	1,382.00
Sunset Advisory Committee	1,568.00
Building Depreciation	64,398.00
USAS Depreciation	<u>0.00</u>

Total Indirect Costs -
Statewide Full Cost Allocation Plan \$ 396,019.00

TOTAL INDIRECT COSTS **\$ 1,902,195.74**



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